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Fax Transmission | 11 October 2006

TO:

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	FROM: Brian H. Batzli OUR REF: 13041.0014US01 TELEPHONE: 612.336.4755
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Total pages, including cover letter: 4

PTO FAX NUMBER 1-571-273-8300

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Title of Document Transmitted: Request for Refund

Applicant: Isao MATSUMOTO

Serial No.: 09/866,180

Filed: 25 May 2001

Patent No.: 7,087,345

Issued: 8 August 2006

Group Art Unit: 1745

Our Ref. No. 13041.0014US01

Confirmation No. 4591

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: Brian H. Batzli
Name: Brian H. Batzli
Reg. No.: 32,960

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Casey Caron

Signature 

11 October 2006

Date

GEN033.DOT

PAGE 1/5 * RCV'D AT 10/11/2006 4:19:34 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/6 * DNIS:2738300 * CSID: * DURATION (mm:ss):02:02

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PATENT

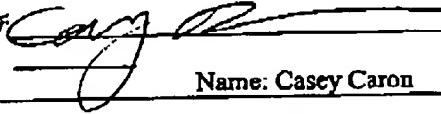
S/N 09/866,180

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Isao MATSUMOTO.	Examiner:	Mark Ruthkosky
Serial No.:	09/866,180	Group Art Unit:	1745
Filed:	25 May 2001	Docket No.:	13041.0014US01
Patent No.:	7,087,345	Issued:	8 August 2006
Title:	SPIRALLY-ROLLED ELECTRODES WITH SEPARATOR AND THE BATTERIES THEREWITH		

CERTIFICATE UNDER 37 CFR 1.8(b):

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By 

Name: Casey Caron

REFUND REQUEST FOR SMALL ENTITY (37 CFR 1.28)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby inform the Commissioner that their status has been established as Small Entity since the time of filing the application on 25 May 2001. Under 37 C.F.R. 1.28 (c), we are requesting a refund for the amount of \$700.00 to correctly pay a Small Entity Issue fee of \$700.00.

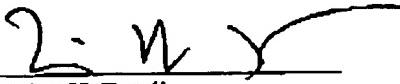
Attached please find our May 2006 statement regarding our deposit account charges. Note the highlighted portion of the statement where the USPTO charged \$1400.00 on 26 May 2006 for the payment of the Issue Fee. Also attached is a copy of the Issue Fee transmittal and Fax Cover Sheet where it was indicated that a small entity payment of \$700.00 is required.

Please credit the deposit account no. 13-2725 for the amount of \$700.00 to amend the Large Entity charge. If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of-record, Brian H. Batzli (Reg. No. 32,960), at 612-336-4755.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: 11 October 2006

By 

Brian H. Batzli
Reg. No. 32,960

BHB/cjc

Deposit Account Statement

Requested Statement Month: May 2006
Deposit Account Number: 132726
Name: MERCHANT & GOULD P.A.
Attention: ACCOUNTING DEPT
Address: 3200 IDS CENTER
City: MINNEAPOLIS
State: MN
Zip: 55402-2215
Country: UNITED STATES

DATE SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
05/26 147	11360448	14917.0258USU&/MS316539.0	1111	\$500.00	\$62,644.22
05/26 148	11360448	14917.0258USU8/MS316539.0	1311	\$200.00	\$62,444.22
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05/26 150	11360448	14917.0258USU&/MS316539.0	1081	\$1,000.00	\$61,314.22
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05/26 155	11360457	14917.0258USU3/MS311586.1	1081	\$1,000.00	\$57,478.22
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05/26 492	11303203	14917.0300US01 / 313788.1	8021	\$40.00	\$57,018.22
05/26 497	78362547	14502.2US01	7003	\$300.00	\$56,718.22
05/26 1199	09814631	163.1382US01	1814	\$130.00	\$56,588.22
05/26 1416	10029426	MS1-680US	1806	\$180.00	\$56,408.22
05/26 1580	78893788	9926.38US01	7001	\$325.00	\$56,083.22
05/30 23	10286730	11909.2USWO	2251	\$60.00	\$56,023.22
05/30 28	PCT/US06/19441	02316.2119W001	1601	\$300.00	\$55,723.22
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05/30 30	PCT/US06/19441	02316.2119W001	8007	\$20.00	\$52,404.22

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Commissioner for Patents	OUR REF: 13041.0014US01
P.O. Box 1450	TELEPHONE: 612.336.4755
Alexandria, Virginia 22313-1450	

Total pages, including cover letter: 2

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Title of Document Transmitted: Issue Fee

Applicant: Isao MATSUMOTO

Serial No.: 09/866,180

Filed: 25 May 2001

Group Art Unit: 1745

Our Ref. No. 13041.0014US01

Confirmation No. 4591

Please charge Deposit Account No. 13-2725 for the following item(s): \$300.00 for Publication Fee, \$700.00 for Utility Issue fee, \$6.00 for Patent Copies. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

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By: _____
Name: Brian H. Batzli
Reg. No.: 32,960

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Casey Caron

Casey A.
Signature

25 May 2006
Date

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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, Advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address, and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

1590 03/21/2006
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Casey Caron	(Depositor's name)
<i>Casey C</i>	(Signature)
25 May 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/866,180	05/23/2001	Izao Matsumoto	13041.14US01	4591

TITLE OF INVENTION: SPIRALLY-ROLLED ELECTRODES WITH SEPARATOR AND THE BATTERIES THEREWITH

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	06/21/2006
EXAMINER	ART UNIT		CLASS-SUBCLASS		
RUTHKOSKY, MARK	1745		429-094000		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
 "Fee Address" indication (or "Fee Address" indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.
2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.
- Merchant & Gould P.C.
2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed as recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. The following fee(s) are enclosed:

- Issue Fees
 Publication Fee (No small entity discount permitted)
 Advance Order - # of Copies TWO (2) Copies

4b. Payment of Fee(s):

- A check in the amount of the fee(s) is enclosed.
 Payment by credit card. Form PTO-2038 is attached.
 The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 13273 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(e)(2).

The Director of the USPTO is requested to apply the Issue Fees and Publication Fee (if any) or to reapply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Brian H. Batzli

Date 25 May 2006

Typed or printed name Brian H. Batzli

Registration No. 32,960

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Date: 10/11/2006

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PALM INTRANET**Application Number Information**Application Number: **09/866180**Examiner Number: **76046 / RUTHKOSKY, MARK****Assignments**Filing or 371(c) Date: **05/25/2001**Group Art Unit: **1745** IFW IMAGEEffective Date: **05/25/2001**Class/Subclass: **429/094.000**Application Received: **05/29/2001**Lost Case: **NO**Pat. Num./Pub. Num: **7087345/20020076606**

Interference Number:

Issue Date: **08/08/2006**Unmatched Petition: **NO**Date of Abandonment: **00/00/0000**L&R Code: Secrecy Code: **1**Attorney Docket Number: **13041.14US01**Third Level Review: **NO**Secrecy Order: **NO**Status: **150 /PATENTED CASE**Status Date: **07/19/2006**Confirmation Number: **4591**Oral Hearing: **NO**Title of Invention: **SPIRALLY-ROLLED ELECTRODES WITH SEPARATOR AND THE BATTERIES THEREWITH**

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